

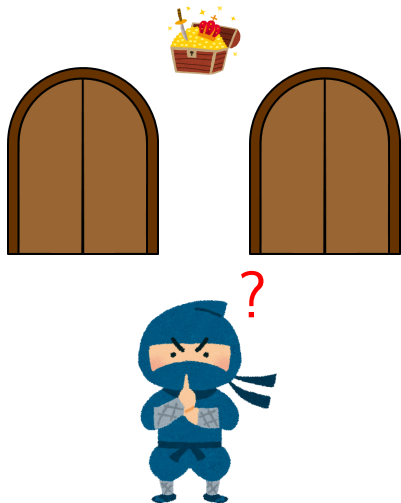
Concurrent program extraction

Ulrich Berger and Hideki Tsuiki



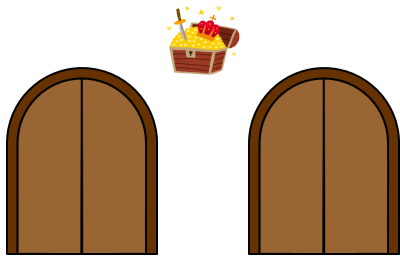
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Question?



- ▶ There are two doors.
- ▶ You know that you can get a treasure from at least one of the doors, but do not know which one.
- ▶ If you find a treasure, you can return with it. Otherwise, you have to search for it eternally, and no return.
- ▶ What shall you do?

Solution!



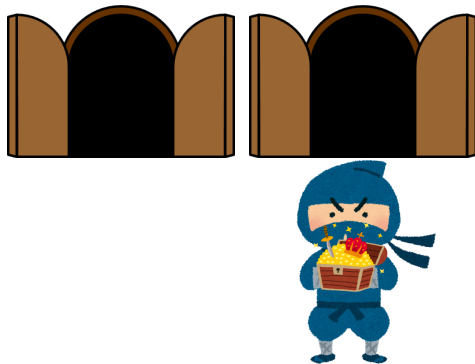
▶ Duplicate yourself!

Solution!



- ▶ Duplicate yourself!
- ▶ Search concurrently.

Solution!



- ▶ Duplicate yourself!
- ▶ Search concurrently.
- ▶ If one of them finds a treasure,

Solution!



- ▶ Duplicate yourself!
- ▶ Search concurrently.
- ▶ If one of them finds a treasure,
Kill the other.

Solution!

ARRGH!



- ▶ Duplicate yourself!
- ▶ Search concurrently.
- ▶ If one of them finds a treasure,
Kill the other.

Continue with the treasure



Continue with the treasure



We study this kind of concurrent computation.

How can we implement it in programming languages?

Amb: Bottom-avoiding choice operator

- ▶ **Amb**: McCarthy's nondeterministic bottom-avoiding choice operator.

- ▶ **Amb** $x y = \begin{cases} x & \text{if } x \neq \perp \\ y & \text{if } y \neq \perp \\ \perp & \text{if } x = y = \perp. \end{cases}$

- ▶ Here, \perp means that computation does not terminate.
- ▶ It returns x when $y = \perp$.
- ▶ It returns y when $x = \perp$.
- ▶ It may return x or y nondeterministically when both x and y are not \perp .
- ▶ Compute x and y in parallel, and return the one obtained first.
- ▶ We want to extract a program that uses the **Amb** operator from a proof that at least one of x or y has a value and therefore **Amb** $x y$ terminates.

The realizability theory with start with

- ▶ We extend the realizability theory IFP(Intuitionistic Fixed Point Logic) [B 2010], which is an extension and variation of Kreisel's modified realizability.
 - ▶ TCF and Minlog [Schwichtenberg 1991].
 - ▶ **q**-realizability of [Tatsuta 1998]
- ▶ **Logic**: Extension of **first-order predicate logic** by least and greatest fixed points. Note that it is not based on type theory.
- ▶ **Program**: **Untyped** programs. We allow unrestricted recursion and **non-termination**. Prove termination through adequacy.
- ▶ As the space of programs, consider the Scott domain D defined by a recursive domain equation of the form
$$D = \mathbf{Nil} + \mathbf{Left} D + \mathbf{Right} D + \mathbf{Pair} (D \times D) + \mathbf{Fun} (D \rightarrow D) + \dots$$
 - ▶ $+$: separated sum.
 - ▶ **Nil**, **Left**, **Right**, \dots : constructors.
 - ▶ All the elements except for \perp are constructor terms like **Left**..., **Pair**(..., ...), **Fun**(λd).
 - ▶ We write **Def**(b) to express that b is not \perp .

The realizability theory with start with (cont.)

- ▶ Formulae are divided into two categories: **computational** and **non-computational (nc)**. Computational means that it contains \forall .
- ▶ For a formula A and $c \in D$, we define the predicate $c \mathbf{r} A$, which means that c is a “computational meaning” of A . Roughly speaking, c computes **Left** or **Right** for each \forall in a computational formula.
- ▶ $c \mathbf{r} A \stackrel{\text{Def}}{=} (c = \mathbf{Nil}) \wedge A$, for a nc formula A .
- ▶ $c \mathbf{r} (A \vee B) \stackrel{\text{Def}}{=} \exists a (c = \mathbf{Left}(a) \wedge a \mathbf{r} A) \vee \exists b (c = \mathbf{Right}(b) \wedge b \mathbf{r} B)$.
- ▶ $c \mathbf{r} (A \rightarrow B) \stackrel{\text{Def}}{=} \begin{cases} \forall a (a \mathbf{r} A \rightarrow (c a) \mathbf{r} B) & \text{if } A \text{ is computational.} \\ A \rightarrow c \mathbf{r} B & \text{if } A \text{ is nc.} \end{cases}$
- ▶ From a derivation of a formula A in IFP, one can extract a program term M and a derivation of $M \mathbf{r} A$ (Soundness Theorem).

Our extension

- ▶ We add a new formula $S_n(A)$ which means that the computational meaning of A is obtained through n parallel threads of computation.
- ▶ We consider a new constructor **Amb** which means the **Amb** operator with n arguments. We add to D the branch $D = \dots + \mathbf{Amb}([D])$. Here, $[D]$ is the domain of lists of D .
- ▶ **Note:** We do not consider a power domain. $\mathbf{Amb}([d_1, \dots, d_n])$ is a list of values obtained by each computation.

How can we derive that at least one of a or b terminate?

- ▶ First candidate (it is not valid):

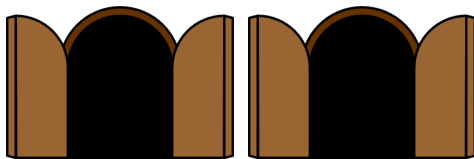
$$\frac{B \rightarrow A \quad C \rightarrow A \quad \neg\neg(B \vee C)}{\mathbf{S}_2(A)} \quad B, C : nc$$

$$\frac{br(B \rightarrow A) \quad cr(C \rightarrow A) \quad \neg\neg(B \vee C)}{\mathbf{Amb}([b, c]) \mathbf{r} \mathbf{S}_2(A)} \quad B, C : nc$$

$$\frac{B \rightarrow brA \quad C \rightarrow crA \quad \neg\neg(B \vee C)}{\mathbf{Amb}([b, c]) \mathbf{r} \mathbf{S}_2(A)} \quad B, C : nc$$

- ▶ If B holds, b will produce A .
- ▶ If C holds, c will produce A .
- ▶ If B or C holds.
- ▶ Therefore, by executing b and c in parallel, we obtain A .
- ▶ However, this reasoning is not valid.

The case C holds:

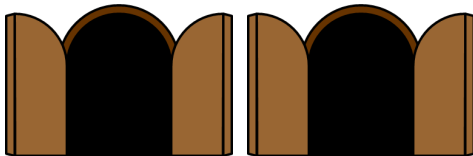


C

- ▶ $B \rightarrow br A$
 $C \rightarrow cr A$
 $\neg\neg(B \vee C)$

 $\mathbf{Amb}([b, c]) \mathbf{r S}_2(A)$
- ▶ c returns with a treasure.

The case B holds:

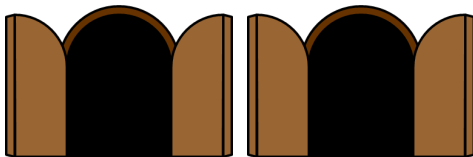


b

- ▶ $B \rightarrow brA$
- ▶ $C \rightarrow crA$
- ▶ $\neg\neg(B \vee C)$

- ▶ $\mathbf{Amb}([b, c]) \mathbf{rS}_2(C)$
- ▶ b returns with a treasure.
- ▶
- ▶
- ▶
- ▶

The case B holds:



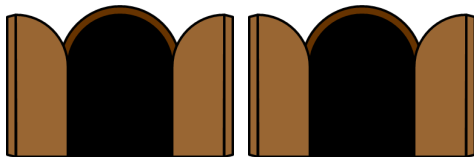
b

- ▶ $B \rightarrow brA$
 $C \rightarrow crA$
 $\neg\neg(B \vee C)$

 $\mathbf{Amb}([b, c]) \mathbf{rS}_2(C)$
- ▶ b returns with a treasure.
- ▶ No information about C .
Therefore, c may or may not return.



The case B holds:



b

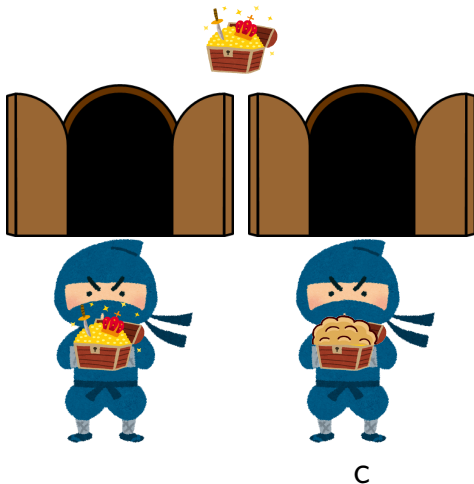


c

- ▶ $B \rightarrow brA$
- ▶ $C \rightarrow crA$
- ▶ $\neg\neg(B \vee C)$

- ▶ **Amb**([b, c]) **rS**₂(C)
- ▶ b returns with a treasure.
- ▶ No information about C. Therefore, c may or may not return.
- ▶ c may return with a treasure.
- ▶
- ▶

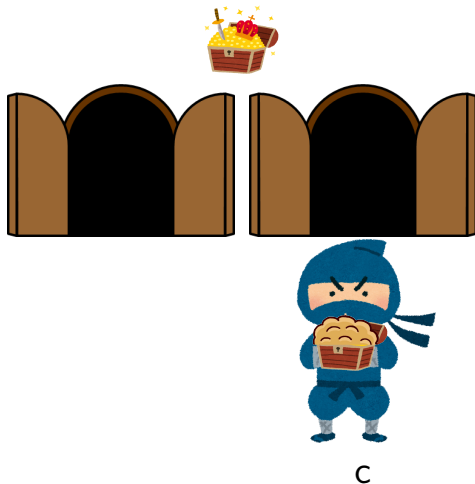
The case B holds:



- ▶ $B \rightarrow brA$
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 $\neg\neg(B \vee C)$

 $\text{Amb}([b, c]) \text{ r } \mathbf{S}_2(C)$
- ▶ b returns with a treasure.
- ▶ No information about C . Therefore, c may or may not return.
- ▶ c may return with a treasure.
- ▶ However, c may return with something else.
- ▶

The case B holds:



- ▶ $B \rightarrow br A$
- ▶ $C \rightarrow cr A$
- ▶ $\neg\neg(B \vee C)$

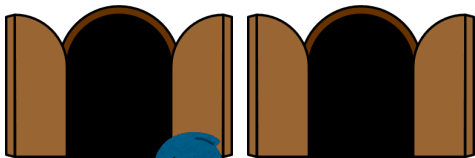
$\text{Amb}([b, c]) \text{ r } \text{S}_2(C)$

- ▶ b returns with a treasure.
- ▶ No information about C . Therefore, c may or may not return.
- ▶ c may return with a treasure.
- ▶ However, c may return with something else.
- ▶ If c returns fast,...

The case B holds:

Wait!,
That's fake treasure!
I can find...

ARRGH!



- ▶ $B \rightarrow br A$
 - ▶ $C \rightarrow cr A$
 - ▶ $\neg\neg(B \vee C)$
-
- Amb**([b, c]) **r** **S**₂(C)
- ▶ b returns with a treasure.
 - ▶ No information about C . Therefore, c may or may not return.
 - ▶ c may return with a treasure.
 - ▶ However, c may return with something else.
 - ▶ If c returns fast,...

New connectives.

▶ $\mathbf{S}_n(A)$ (Concurrently A)

$$\text{ar } \mathbf{S}_n(A) \stackrel{\text{Def}}{=} \exists m (1 \leq m \leq n \wedge a = \mathbf{Amb}([a_1, \dots, a_m]) \wedge \exists i \leq m (\mathbf{Def}(a_i)) \wedge \forall i \leq m (\mathbf{Def}(a_i) \rightarrow a_i \text{ r } A))$$

▶ $A \parallel B$ (A if B)

$$\text{ar}(A \parallel B) \stackrel{\text{Def}}{=} (B \rightarrow \mathbf{Def}(a)) \wedge (\mathbf{Def}(a) \rightarrow \text{ar } A).$$

▶ (We only consider the case B is nc.)

▶ Bounded non-determinism and restriction:

$$\frac{A \parallel B \quad A \parallel C \quad \neg\neg(B \vee C)}{\mathbf{S}_2(A)} \text{ nondet-class-orelim}$$

Realizable rules for \mathbf{S}_n :

- ▶ $\frac{A}{\mathbf{S}_n(A)}$ **return**, realized by $\lambda a \mathbf{Amb}([a])$
for strict A , that is, for a formula for which $\perp \mathbf{r}A$ does not hold.
- ▶ $\frac{A \rightarrow B}{\mathbf{S}_n(A) \rightarrow \mathbf{S}_n(B)}$ **mon**, by $\lambda f \lambda a \mathbf{case } a \mathbf{ of } \{ \mathbf{Amb}(b) \rightarrow \mathbf{Amb}(\mathit{map } f b) \}$
for strict B .
- ▶ $\frac{\mathbf{S}_1(A)}{A}$ **one**, by $\lambda a \mathbf{case } a \mathbf{ of } \{ \mathbf{Amb}(a_1 : b) \rightarrow a_1 \}$.
- ▶ $\frac{\mathbf{S}_n(A)}{A}$ **nc**, by $\lambda a \mathbf{Nil}$
where A is nc.

Realizable rules for \parallel

- ▶ $\frac{A}{A \parallel B}$ **return**, by $\lambda a a$.
- ▶ $\frac{A \parallel B \quad A \rightarrow (A' \parallel B)}{A' \parallel B}$ **bind**, by $\lambda a \lambda f f \downarrow a$. (\downarrow : strict application.)
- ▶ $\frac{A \parallel B \quad B' \rightarrow B}{A \parallel B'}$ **antimon**, by $\lambda a \lambda f a$
- ▶ $\frac{A \parallel B}{A \parallel \neg\neg B}$ **stab**, realized classically by the identity.
- ▶ $\frac{}{A \parallel \mathbf{False}}$ **restriction-efq**, by \perp
- ▶ $\frac{A \parallel B \quad B}{A}$ **restriction-mp**, by $\lambda a \lambda b a$
- ▶ $\frac{B \rightarrow A_0 \vee A_1 \quad \neg B \rightarrow A_0 \wedge A_1}{A_0 \vee A_1 \parallel B} \parallel \mathbf{I}$,
by
 $\lambda a \mathbf{case } a \mathbf{ of } \{ \mathbf{Left } b \rightarrow \mathbf{Left } b; \mathbf{Right } b \rightarrow \mathbf{Right } b \}$
where A_0, A_1, B must be nc.

$$\frac{B \rightarrow A_0 \vee A_1 \quad \neg B \rightarrow A_0 \wedge A_1}{A_0 \vee A_1 \parallel B} \parallel I, \text{ where } A_0, A_1, B \text{ must be nc.}$$

by λa case a of $\{\mathbf{Left} \ b \rightarrow \mathbf{Left} \ \mathbf{Nil}; \mathbf{Right} \ b \rightarrow \mathbf{Right} \ \mathbf{Nil}\}$

$$ar(A \parallel B) \stackrel{\text{Def}}{=} (B \rightarrow \mathbf{Def}(a)) \wedge (\mathbf{Def}(a) \rightarrow ar A).$$

Suppose that $ar B \rightarrow A_0 \vee A_1$. That is, $B \rightarrow ar A_0 \vee A_1$.

- ▶ If B holds, $ar A_0 \vee A_1$ and obviously $ar A_0 \vee A_1 \parallel B$. Since A_0 and A_1 are nc, a should be **Left Nil** or **Right Nil**.
- ▶ If B does not hold, then a may be anything.
 - ▶ If a does not have the form **Left** b or **Right** b , then $\perp r A_0 \vee A_1 \parallel B$.
 - ▶ if a has the form **Left** b or **Right** b , then **LeftNil** or **RightNil** realizes $A_0 \vee A_1$ because A_0 and A_1 are both true.

Realizable rules for the combination of \mathbf{S}_n and \parallel

- ▶ $\frac{A \parallel B \quad A \parallel \neg B}{\mathbf{S}_2(A)}$ *nondet-lem*, by $\lambda a \lambda b \mathbf{amb}([a, b])$
- ▶ $\frac{\neg\neg(B \vee C) \quad A \parallel B \quad A \parallel C}{\mathbf{S}_2(A)}$ *nondet-class-orelim*, by $\lambda a \lambda b \mathbf{amb}([a, b])$

We call the extended system CFP (Concurrent Fixedpoint Logic).

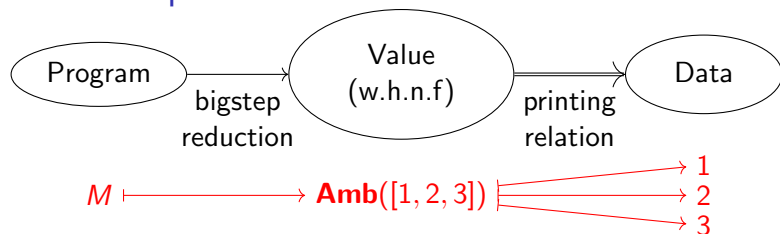
Soundness, Adequacy, Program Extraction Theorem

- ▶ A (closed) **value** is an *intro program* (i.e., weak head normal form).
Amb[bot, 1, 2] is a value. ($bot = rec\ x.x$)
- ▶ We define a **bigstep reduction relation** $M \rightarrow v$ between (closed) program M and values v . We have $\llbracket M \rrbracket = \llbracket v \rrbracket$ and such a v is unique if it exists.
- ▶ A **data** is a term defined inductively only with **Nil**, **Left**, **Right**, **Pair**.
- ▶ The **printing relation** $M \Longrightarrow d$ between program M and data d . (Evaluating deeply, and expanding **Amb**.)
- ▶ It is a multi-valued relation. **Amb[bot, 1, 2]** $\Longrightarrow 1$,
Amb[bot, 1, 2] $\Longrightarrow 2$
- ▶ We define $data(a)$ for $a \in D$ as the set of values obtained by expanding **Amb** for non-bottom components.
 $data(\mathbf{Amb}[\perp, 1, 2]) = \{1, 2\}$.
- ▶ **[Computational Adequacy]** For every closed term M ,
 $d \in data(\llbracket M \rrbracket)$ iff $M \Longrightarrow d$.

Program Extraction

- ▶ For any formula A of CFP without restriction(\parallel), we define a formula A^- of IFP obtained by deleting all \mathbf{S}_n .
- ▶ A **data formula** is a formula without implications.
- ▶ If A is a realizable data formula of CFP, A^- is realizable in IFP though one cannot compute a realizer of A^- from a realizer of A in a continuous way in general.
- ▶ **[Program Extraction]** From a proof of A in CFP, one can extract a terminating program M such that whenever $M \implies d$ then d realizes A^- in IFP.

Haskell implementation



- ▶ *data* $D = \mathbf{Nil} + \mathbf{Left} D + \dots + \mathbf{Amb}([D])$ is a Haskell datatype.
- ▶ Our realizers are Haskell programs.
- ▶ From a proof, we hand-extract a haskell program of type D .
- ▶ “Value” is a weak head normal form and our bigstep reduction is compatible with the evaluation of haskell.
- ▶ “Printing relation” on \mathbf{Amb} is implemented in (concurrent) haskell [Jones,Gordon,Finne,96].
- ▶ It uses `forkIO` (spawn a concurrent process) and `MVar` (mutable variable with blocking).

Haskell implementation of Amb

`ambL :: [D] -> IO D`

`ambL xs = do`

- `xs` is a list of (possibly nonterm.) computations.

`m <- newEmptyMVar`

- `m` is a `MVar` to put the result.

`acts <- sequence [forkIO $ evaluate x >>= putMVar m | x <- xs]`

- create a process for each $x \in xs$ and compute x in parallel to `whnf`.

- Place the result in `m`. At most one of the processes succeed.

`z <- takeMVar m`

- take the content of `m`.

`sequence_ (map killThread acts)`

- kill the processes which are still running.

`return z`

- With `ambL`, we define the printing relation `printing :: D -> IO D`

`printing (Amb d) = ambL d >>= printing`

...

Example1: Gray \rightarrow Signed Digit Conversion

- ▶ Gray-code of real number (on the unit interval $[-1, 1]$) is a non-redundant code of real number as $\{0, 1, \perp\}$ -sequences.
- ▶ At most one \perp is included in each code.
- ▶ It is equivalent to signed digit.
- ▶ Let ONEBOT be the set of $\{0, 1, \perp\}$ -sequences with at most one \perp .
- ▶ $\text{SDtoGRAY} : \{-1, 0, 1\}^\omega \rightarrow \text{ONEBOT}$.
- ▶ $\text{GRAYtoSD} : \text{ONEBOT} \rightarrow \{-1, 0, 1\}^\omega$.
- ▶ SDtoGRAY can be written in Haskell. (Haskell list type contain partial infinite sequence like $[0, 1, \perp, 1, 0, 0, \dots]$).
- ▶ For GRAYtoSD , we need to read in a one-bottom sequence.
- ▶ To read a one-bottom sequence, one needs to evaluate two cells in parallel because a computation of a cell may not terminate.

The code of $-1/4$ is

0	1	\perp	1	0	0	0	\dots
---	---	---------	---	---	---	---	---------

Gray \rightarrow Signed Digit Conversion (2)

- ▶ $\mathbf{SD} \stackrel{\text{Def}}{=} \{-1, 0, 1\}$
- ▶ $\mathbb{I}_d \stackrel{\text{Def}}{=} [d/1 - 1/2, d/2 + 1/2]$
- ▶ $C(x) \stackrel{\nu}{=} \exists d \in \mathbf{SD} (x \in \mathbb{I}_d \wedge C(2x - d))$
 x has a signed digit representation. Defined coinductively.
- ▶ $C_2(x) \stackrel{\nu}{=} \mathbf{S}_2(\exists d \in \mathbf{SD} (x \in \mathbb{I}_d \wedge C_2(2x - d)))$
 x has a signed digit representation, computed concurrently.
- ▶ $D(x) \stackrel{\text{Def}}{=} x \neq 0 \rightarrow x \leq 0 \vee x \geq 0$
If $x \neq 0$, then it is computable whether $x \leq 0$ or $x \geq 0$.
- ▶ $G(x) \stackrel{\nu}{=} D(x) \wedge G(\mathbf{t}(x))$
 x has a Gray-code.
- ▶ We proved $\forall x(C(x) \rightarrow G(x))$. The extracted program is a Haskell program.
- ▶ We proved $\forall x(G(x) \rightarrow C_2(x))$. The extracted program produces a Haskell program with the **Amb** constructor. Signed digit representation is obtained with printing.

Result of computation of $gc(\perp 10^\omega)$

Gray code of $-1/4$ is $01\perp 10^\omega$.

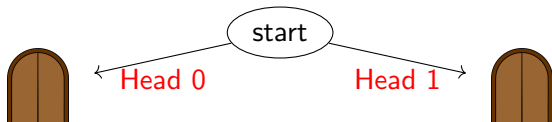
$gc(0 : 1 : \perp : 1 : [0, 0..]) = \mathbf{Amb}(\mathbf{Mi}(A), \mathbf{Le}(B))$

↑ ↑

(A) = ($\mathbf{Amb}(\mathbf{Le}(C), \perp)$)

(B) = ($\mathbf{Amb}(\mathbf{Ri}(D), \perp)$)

(C) ...



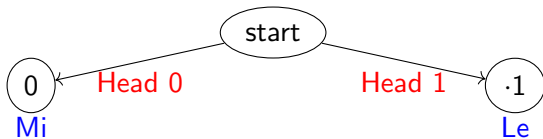
$printing(takeD\ 5(gc(0 : 1 : \perp : 1 : [0, 0..]))) = \mathbf{Mi}(\mathbf{Ri}(\mathbf{Mi}(\mathbf{Mi}(\mathbf{MiNil}))))$

$printing(takeD\ 5(gc(0 : 1 : \perp : 1 : [0, 0..]))) = \mathbf{Le}(\mathbf{Ri}(\mathbf{Mi}(\mathbf{Mi}(\mathbf{MiNil}))))$

Result of computation of $gc(\perp 10^\omega)$

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$$\begin{aligned} gc(0 : 1 : \perp : 1 : [0, 0..]) &= \mathbf{Amb}(\mathbf{Mi}(A), \mathbf{Le}(B)) \\ \uparrow \quad \uparrow & \quad (A) = (\mathbf{Amb}(\mathbf{Le}(C), \perp) \\ & \quad (B) = (\mathbf{Amb}(\mathbf{Ri}(D), \perp) \\ & \quad (C) \dots \end{aligned}$$



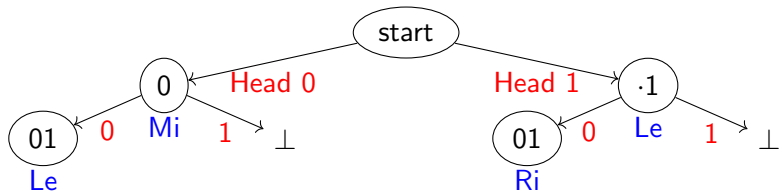
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 & \quad (B) = (\mathbf{Amb}(\mathbf{Ri}(D), \perp)) \\
 & \quad (C) \dots
 \end{aligned}$$



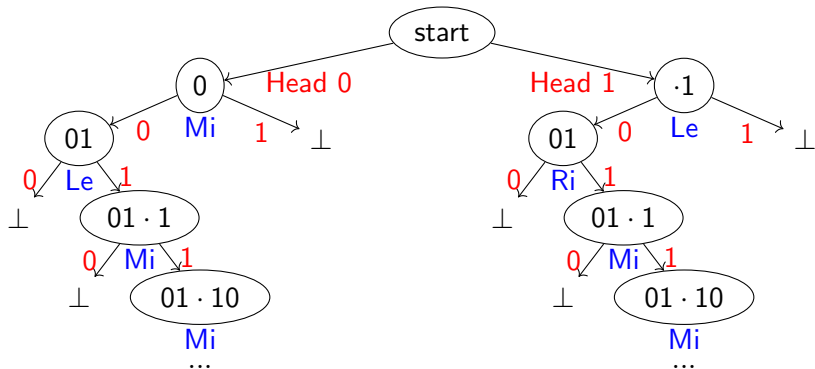
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Result of computation of $gc(\perp 10^\omega)$

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 &\quad \uparrow \quad \uparrow \quad (A) = (\mathbf{Amb}(\mathbf{Le}(C), \perp) \\
 &\quad \quad \quad (B) = (\mathbf{Amb}(\mathbf{Ri}(D), \perp) \\
 &\quad \quad \quad (C) \dots
 \end{aligned}$$



$printing(takeD\ 5(gc(0 : 1 : \perp : 1 : [0, 0..]))) = \mathbf{Mi}(\mathbf{Ri}(\mathbf{Mi}(\mathbf{Mi}(\mathbf{MiNil}))))$

$printing(takeD\ 5(gc(0 : 1 : \perp : 1 : [0, 0..]))) = \mathbf{Le}(\mathbf{Ri}(\mathbf{Mi}(\mathbf{Mi}(\mathbf{MiNil}))))$

Example 2: Pivoting and Gaussian Elimination

Cauchy reals are real numbers satisfying the predicate

$$\mathbf{A}(x) \stackrel{\text{Def}}{=} \forall n \in \mathbf{N} \exists q \in \mathbf{Q} |x - q| \leq 2^{-n}$$

where $\mathbf{N}(x) \stackrel{\mu}{=} x = 0 \vee \mathbf{N}(x - 1)$ and the rational numbers, \mathbf{Q} , are defined from \mathbf{N} in the usual way.

$n \mathbf{r} \mathbf{N}(x) \Leftrightarrow x$ is a natural number with unary representation n

$f \mathbf{r} A(x) \Leftrightarrow f$ is a fast rational Cauchy sequence converging to x

$$x \neq y \stackrel{\text{Def}}{=} \exists k \in \mathbf{N} |x - y| \geq 2^{-k}$$

[Pivoting] $\forall n \in \mathbf{N} \forall (x_0, \dots, x_n) \in \mathbf{A}^{n+1} \setminus \{0^{n+1}\} \mathbf{S}_n(\exists i \leq n x_i \neq 0)$

The extracted program scans (realizers of) Cauchy reals x_0, \dots, x_n concurrently for evidence of non-zerosness.

printing (takeD 30 (pivot 5 (mkD [1/2²², 0, 0, 1/2⁵, 0]))) = (1, 24)

printing (takeD 30 (pivot 5 (mkD [1/2²², 0, 0, 1/2⁵, 0]))) = (4, 7)

Can be used to extract a concurrent program for Gaussian elimination.

References

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