

Fractal Intersections and Products via Algorithmic Dimension

Neil Lutz
Rutgers University

June 26, 2017

Goal:

Use algorithmic information theory to answer fundamental questions in fractal geometry.

Agenda:

- ▶ Discuss classical and algorithmic notions of dimension.
- ▶ Describe a recent point-to-set principle that relates them.
- ▶ Describe a notion of conditional dimension.
- ▶ Apply these new tools bound the classical dimension of products and slices of fractals.
 - ▶ Special case of intersections — one of the sets is a vertical line.

What is dimension?

Informally, it's the **number of free parameters**: The number of parameters needed to specify an arbitrary element inside a set given a description for the set.

What is dimension?

Informally, it's the **number of free parameters**: The number of parameters needed to specify an arbitrary element inside a set given a description for the set.



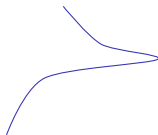
2

What is dimension?

Informally, it's the **number of free parameters**: The number of parameters needed to specify an arbitrary element inside a set given a description for the set.



2



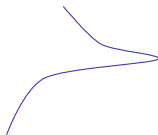
1

What is dimension?

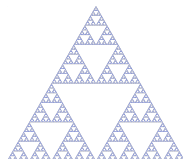
Informally, it's the **number of free parameters**: The number of parameters needed to specify an arbitrary element inside a set given a description for the set.



2



1



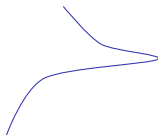
???

What is dimension?

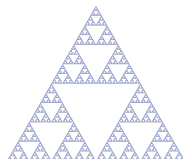
Informally, it's the **number of free parameters**: The number of parameters needed to specify an arbitrary element inside a set given a description for the set.



2



1



???

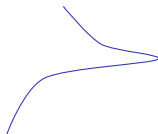
We want a way to quantitatively classify sets of measure zero.

What is dimension?

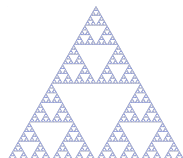
Informally, it's the **number of free parameters**: The number of parameters needed to specify an arbitrary element inside a set given a description for the set.



2



1



???

We want a way to quantitatively classify sets of measure zero.

Example: Suppose an algorithm succeeds with probability 1 but fails in the worst case. How much control does an adversary need to have over the environment to ensure failure?

Fractal Dimension: Measure Theoretic Approach

How strongly does **granularity** affect measurement of the set?

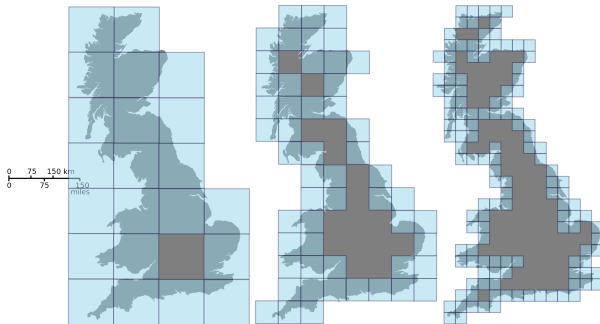


Image credit: Alexis Monnerot-Dumaine

Let N_ε = number of boxes with side ε needed to cover the set.

Fractal Dimension: Measure Theoretic Approach

How strongly does **granularity** affect measurement of the set?

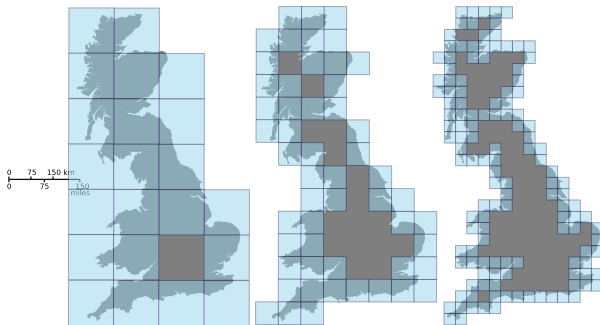


Image credit: Alexis Monnerot-Dumaine

Let $N_\varepsilon =$ number of boxes with side ε needed to cover the set.

Consider $\lim_{\varepsilon \rightarrow 0} N_\varepsilon \cdot \varepsilon^S$.

Fractal Dimension: Measure Theoretic Approach

How strongly does **granularity** affect measurement of the set?

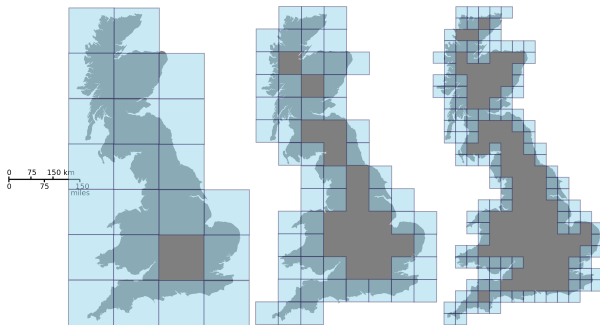


Image credit: Alexis Monnerot-Dumaine

Let N_ε = number of boxes with side ε needed to cover the set.

Consider $\lim_{\varepsilon \rightarrow 0} N_\varepsilon \cdot \varepsilon^s$.

Infinite for $s = 1$ (infinite length) and 0 for $s = 2$ (0 area).

Fractal Dimension: Measure Theoretic Approach

How strongly does **granularity** affect measurement of the set?

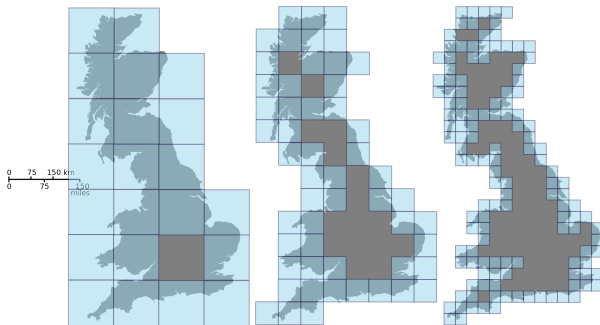


Image credit: Alexis Monnerot-Dumaine

Let N_ε = number of boxes with side ε needed to cover the set.

Consider $\lim_{\varepsilon \rightarrow 0} N_\varepsilon \cdot \varepsilon^s$.

Infinite for $s = 1$ (infinite length) and 0 for $s = 2$ (0 area).

In fact, the limit is positive and finite for at most one value of s .

Hausdorff Dimension

The most standard, robust notion of fractal dimension.

Hausdorff Dimension

The most standard, robust notion of fractal dimension.

$H^s(E)$ = s -dimensional Hausdorff measure of a set $E \subseteq \mathbb{R}^n$.
(Generalizes integer-dimensional Lebesgue outer measure)

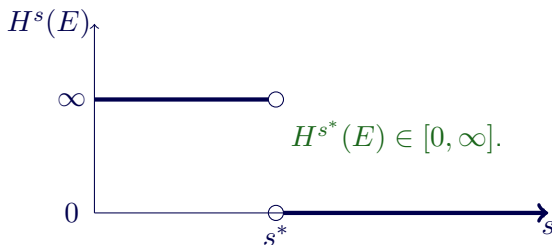
Hausdorff Dimension

The most standard, robust notion of fractal dimension.

$H^s(E)$ = s -dimensional Hausdorff measure of a set $E \subseteq \mathbb{R}^n$.
(Generalizes integer-dimensional Lebesgue outer measure)

Hausdorff 1919: The **Hausdorff dimension** of E is

$$\dim_H(E) = \inf\{s : H^s(E) = 0\}.$$



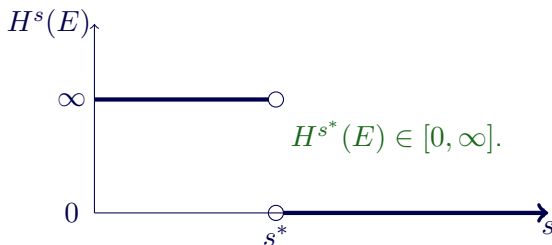
Hausdorff Dimension

The most standard, robust notion of fractal dimension.

$H^s(E)$ = s -dimensional Hausdorff measure of a set $E \subseteq \mathbb{R}^n$.
(Generalizes integer-dimensional Lebesgue outer measure)

Hausdorff 1919: The **Hausdorff dimension** of E is

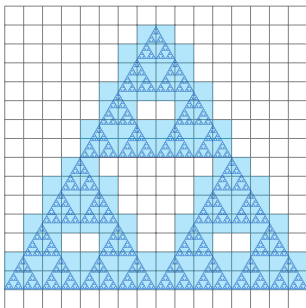
$$\dim_H(E) = \inf \{s : H^s(E) = 0\}.$$



It is often difficult to prove lower bounds on $\dim_H(E)$.

Example: Dimension of the Sierpinski triangle

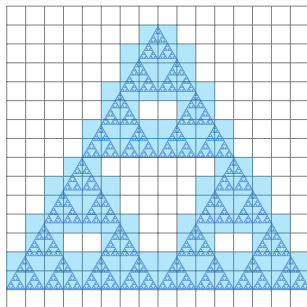
Convenient fact: This set has Hausdorff dimension equal to its box-counting dimension.



$$N_\varepsilon = \theta(\varepsilon^{-\log 3})$$

Example: Dimension of the Sierpinski triangle

Convenient fact: This set has Hausdorff dimension equal to its box-counting dimension.

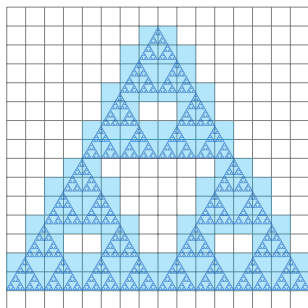


$$N_\varepsilon = \theta(\varepsilon^{-\log 3})$$

$\lim_{\varepsilon \rightarrow 0} N_\varepsilon \cdot \varepsilon^s$ can only be positive and finite for $s = \log 3$,
so the Sierpinski triangle has Hausdorff dimension $\log 3 \approx 1.585$.

Example: Dimension of the Sierpinski triangle

Convenient fact: This set has Hausdorff dimension equal to its box-counting dimension.

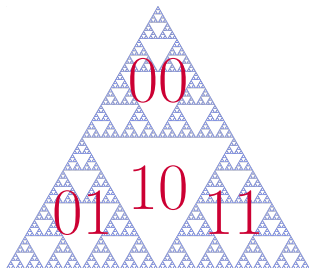


$$N_\varepsilon = \theta(\varepsilon^{-\log 3})$$

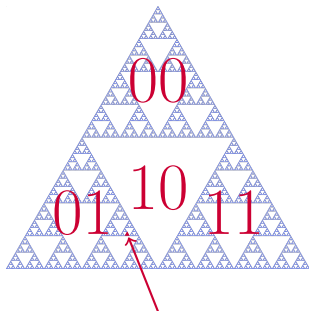
$\lim_{\varepsilon \rightarrow 0} N_\varepsilon \cdot \varepsilon^s$ can only be positive and finite for $s = \log 3$,
so the Sierpinski triangle has Hausdorff dimension $\log 3 \approx 1.585$.

In what sense is this the **number of free parameters**?

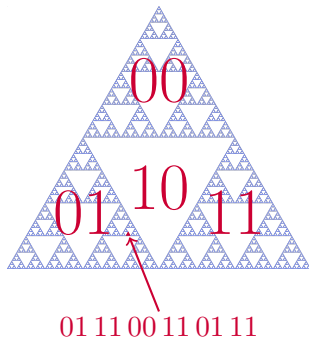
Example: Dimension of the Sierpinski triangle



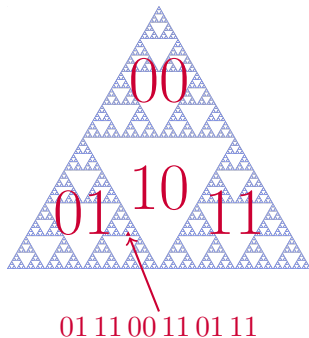
Example: Dimension of the Sierpinski triangle



Example: Dimension of the Sierpinski triangle

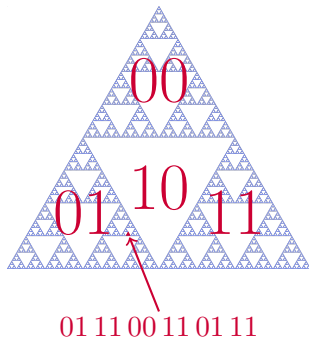


Example: Dimension of the Sierpinski triangle



We can think of the first bit and second bit at each recursion level as two parameters. $2r$ bits approximate a point within $\approx 2^{-r}$ error.

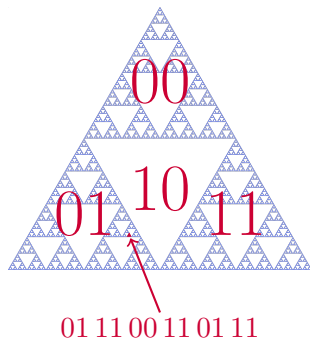
Example: Dimension of the Sierpinski triangle



We can think of the first bit and second bit at each recursion level as two parameters. $2r$ bits approximate a point within $\approx 2^{-r}$ error.

But for points within the fractal set, these parameters are **not independent** of each other. The $2r$ bits are **compressible as data** to length $\approx r \log 3$.

Example: Dimension of the Sierpinski triangle



We can think of the first bit and second bit at each recursion level as two parameters. $2r$ bits approximate a point within $\approx 2^{-r}$ error.

But for points within the fractal set, these parameters are **not independent** of each other. The $2r$ bits are **compressible as data** to length $\approx r \log 3$.

In this sense, we only need $\log 3 \approx 1.585$ parameters to specify a point within the set.

Algorithmic Information in Bit Strings

We need a formal notion of compressibility:

The **Kolmogorov complexity** of a bit string $\sigma \in \{0, 1\}^*$ is the length of the shortest binary program that outputs σ :

$$K(\sigma) = \min \{ |\pi| : U(\pi) = \sigma \},$$

where U is a universal Turing machine.

Algorithmic Information in Bit Strings

We need a formal notion of compressibility:

The **Kolmogorov complexity** of a bit string $\sigma \in \{0, 1\}^*$ is the length of the shortest binary program that outputs σ :

$$K(\sigma) = \min \{ |\pi| : U(\pi) = \sigma \},$$

where U is a universal Turing machine.

- ▶ It matters little which U is chosen for this.

Algorithmic Information in Bit Strings

We need a formal notion of compressibility:

The **Kolmogorov complexity** of a bit string $\sigma \in \{0, 1\}^*$ is the length of the shortest binary program that outputs σ :

$$K(\sigma) = \min \{ |\pi| : U(\pi) = \sigma \},$$

where U is a universal Turing machine.

- ▶ It matters little which U is chosen for this.
- ▶ $K(\sigma)$ = amount of **algorithmic information** in σ .

Algorithmic Information in Bit Strings

We need a formal notion of compressibility:

The **Kolmogorov complexity** of a bit string $\sigma \in \{0, 1\}^*$ is the length of the shortest binary program that outputs σ :

$$K(\sigma) = \min \{ |\pi| : U(\pi) = \sigma \},$$

where U is a universal Turing machine.

- ▶ It matters little which U is chosen for this.
- ▶ $K(\sigma)$ = amount of **algorithmic information** in σ .
- ▶ $K(\sigma) \leq |\sigma| + o(|\sigma|)$.

Algorithmic Information in Bit Strings

We need a formal notion of compressibility:

The **Kolmogorov complexity** of a bit string $\sigma \in \{0, 1\}^*$ is the length of the shortest binary program that outputs σ :

$$K(\sigma) = \min \{ |\pi| : U(\pi) = \sigma \},$$

where U is a universal Turing machine.

- ▶ It matters little which U is chosen for this.
- ▶ $K(\sigma)$ = amount of **algorithmic information** in σ .
- ▶ $K(\sigma) \leq |\sigma| + o(|\sigma|)$.
- ▶ Extends naturally to other finite data objects
 - ▶ e.g., points in \mathbb{Q}^n

Algorithmic Information in Euclidean Spaces

Points in \mathbb{R}^n are **infinite** data objects.

Algorithmic Information in Euclidean Spaces

Points in \mathbb{R}^n are **infinite** data objects.

The **Kolmogorov complexity** of a set $E \subseteq \mathbb{Q}^n$ is

$$K(E) = \min\{K(q) : q \in E\}.$$

(Shen and Vereschagin 2002)

Algorithmic Information in Euclidean Spaces

Points in \mathbb{R}^n are **infinite** data objects.

The **Kolmogorov complexity** of a set $E \subseteq \mathbb{Q}^n$ is

$$K(E) = \min\{K(q) : q \in E\}.$$

(Shen and Vereschagin 2002)

The Kolmogorov complexity of a set $E \subseteq \mathbb{R}^n$ is

$$K(E) = K(E \cap \mathbb{Q}^n).$$

Algorithmic Information in Euclidean Spaces

Points in \mathbb{R}^n are **infinite** data objects.

The **Kolmogorov complexity** of a set $E \subseteq \mathbb{Q}^n$ is

$$K(E) = \min\{K(q) : q \in E\}.$$

(Shen and Vereschagin 2002)

The Kolmogorov complexity of a set $E \subseteq \mathbb{R}^n$ is

$$K(E) = K(E \cap \mathbb{Q}^n).$$

Note that

$$E \subseteq F \Rightarrow K(E) \geq K(F).$$

Algorithmic Information in Euclidean Spaces

Let $x \in \mathbb{R}^n$ and $r \in \mathbb{N}$. The **Kolmogorov complexity** of x at **precision** r is

$$K_r(x) = K(B_{2^{-r}}(x)),$$

i.e., the number of bits required to specify **some** rational point $q \in \mathbb{Q}^n$ such that $|q - x| \leq 2^{-r}$.

Algorithmic Information in Euclidean Spaces

Let $x \in \mathbb{R}^n$ and $r \in \mathbb{N}$. The **Kolmogorov complexity** of x at **precision** r is

$$K_r(x) = K(B_{2^{-r}}(x)),$$

i.e., the number of bits required to specify **some** rational point $q \in \mathbb{Q}^n$ such that $|q - x| \leq 2^{-r}$.

We say x is (algorithmically) **random** if $K_r(x) \geq nr - O(1)$.

Fact: Almost all points are random.

Algorithmic Dimension

At precision r , $x \in \mathbb{R}^n$ has **information density**

$$0 \leq \frac{K_r(x)}{r} \leq n + o(1).$$

Algorithmic Dimension

At precision r , $x \in \mathbb{R}^n$ has **information density**

$$0 \leq \frac{K_r(x)}{r} \leq n + o(1).$$

J. Lutz and Mayordomo: The **algorithmic dimension** of $x \in \mathbb{R}^n$ is

$$\dim(x) = \liminf_{r \rightarrow \infty} \frac{K_r(x)}{r}.$$

Algorithmic Dimension

At precision r , $x \in \mathbb{R}^n$ has **information density**

$$0 \leq \frac{K_r(x)}{r} \leq n + o(1).$$

J. Lutz and Mayordomo: The **algorithmic dimension** of $x \in \mathbb{R}^n$ is

$$\dim(x) = \liminf_{r \rightarrow \infty} \frac{K_r(x)}{r}.$$

Examples:

- ▶ If x is computable, then there is a finite program that outputs x precisely, so $K_r(x) = O(1)$ and $\dim(x) = 0$.

Algorithmic Dimension

At precision r , $x \in \mathbb{R}^n$ has **information density**

$$0 \leq \frac{K_r(x)}{r} \leq n + o(1).$$

J. Lutz and Mayordomo: The **algorithmic dimension** of $x \in \mathbb{R}^n$ is

$$\dim(x) = \liminf_{r \rightarrow \infty} \frac{K_r(x)}{r}.$$

Examples:

- ▶ If x is computable, then there is a finite program that outputs x precisely, so $K_r(x) = O(1)$ and $\dim(x) = 0$.
- ▶ If $x \in \mathbb{R}^n$ is random, then

$$nr - O(1) \leq K_r(x) \leq nr + o(r),$$

so $\dim(x) = n$.

Algorithmic Dimension

At precision r , $x \in \mathbb{R}^n$ has **information density**

$$0 \leq \frac{K_r(x)}{r} \leq n + o(1).$$

J. Lutz and Mayordomo: The **algorithmic dimension** of $x \in \mathbb{R}^n$ is

$$\dim(x) = \liminf_{r \rightarrow \infty} \frac{K_r(x)}{r}.$$

Examples:

- ▶ If x is computable, then there is a finite program that outputs x precisely, so $K_r(x) = O(1)$ and $\dim(x) = 0$.
- ▶ If $x \in \mathbb{R}^n$ is random, then

$$nr - O(1) \leq K_r(x) \leq nr + o(r),$$

so $\dim(x) = n$.

- ▶ The converse does not hold in either case.

Aren't points supposed to have dimension 0?

For the Sierpinski triangle T , we have

$$\dim_H(T) = \sup_{x \in T} \dim(x).$$

Aren't points supposed to have dimension 0?

For the Sierpinski triangle T , we have

$$\dim_H(T) = \sup_{x \in T} \dim(x).$$

This relationship does **not** hold in general: Consider the singleton $\{y\}$, where $y \in \mathbb{R}^n$ is random. Then $\dim_H(\{y\}) = 0$, but

$$\sup_{x \in \{y\}} \dim(x) = \dim(y) = n.$$

Aren't points supposed to have dimension 0?

For the Sierpinski triangle T , we have

$$\dim_H(T) = \sup_{x \in T} \dim(x).$$

This relationship does **not** hold in general: Consider the singleton $\{y\}$, where $y \in \mathbb{R}^n$ is random. Then $\dim_H(\{y\}) = 0$, but

$$\sup_{x \in \{y\}} \dim(x) = \dim(y) = n.$$

But we said dimension is the number of **free** parameters needed to specify a point **given a description of the set**.

The universal machine reading our program to estimate $x \in E$ ought to have **access to a description of E** .

Relative Dimension

The Kolmogorov complexity of a bitstring $\sigma \in \{0, 1\}^*$ **relative to an oracle** $w \in \{0, 1\}^\infty$ is

$$K^w(\sigma) = \min \{ |\pi| : U^w(\pi) = \sigma \},$$

where U is a universal oracle machine: It can query any bit of w as a computational step.

Relative Dimension

The Kolmogorov complexity of a bitstring $\sigma \in \{0, 1\}^*$ **relative to an oracle** $w \in \{0, 1\}^\infty$ is

$$K^w(\sigma) = \min \{ |\pi| : U^w(\pi) = \sigma \},$$

where U is a universal oracle machine: It can query any bit of w as a computational step.

Relative Dimension

The Kolmogorov complexity of a bitstring $\sigma \in \{0, 1\}^*$ relative to an oracle $w \in \{0, 1\}^\infty$ is

$$K^w(\sigma) = \min \{ |\pi| : U^w(\pi) = \sigma \},$$

where U is a universal oracle machine: It can query any bit of w as a computational step.

The dimension of a point $x \in \mathbb{R}^n$ relative to oracle w is

$$\dim^w(x) = \liminf_{r \rightarrow \infty} \frac{K_r^w(x)}{r}.$$

Relative Dimension

The Kolmogorov complexity of a bitstring $\sigma \in \{0, 1\}^*$ relative to an oracle $w \in \{0, 1\}^\infty$ is

$$K^w(\sigma) = \min \{ |\pi| : U^w(\pi) = \sigma \},$$

where U is a universal oracle machine: It can query any bit of w as a computational step.

The dimension of a point $x \in \mathbb{R}^n$ relative to oracle w is

$$\dim^w(x) = \liminf_{r \rightarrow \infty} \frac{K_r^w(x)}{r}.$$

- ▶ Note that the oracle can encode a point in \mathbb{R}^n .

Relative Dimension

The Kolmogorov complexity of a bitstring $\sigma \in \{0, 1\}^*$ relative to an oracle $w \in \{0, 1\}^\infty$ is

$$K^w(\sigma) = \min \{ |\pi| : U^w(\pi) = \sigma \},$$

where U is a universal oracle machine: It can query any bit of w as a computational step.

The dimension of a point $x \in \mathbb{R}^n$ relative to oracle w is

$$\dim^w(x) = \liminf_{r \rightarrow \infty} \frac{K_r^w(x)}{r}.$$

- ▶ Note that the oracle can encode a point in \mathbb{R}^n .
- ▶ For all $x \in \mathbb{R}^n$, $\dim^x(x) = 0$.

Point-to-Set Principle (Lutz & Lutz '17)

For every set $E \subseteq \mathbb{R}^n$,

$$\dim_H(E) = \min_w \sup_{x \in E} \dim^w(x).$$

Point-to-Set Principle (Lutz & Lutz '17)

For every set $E \subseteq \mathbb{R}^n$,

$$\dim_H(E) = \min_w \sup_{x \in E} \dim^w(x).$$

classical Hausdorff
dimension



dimensions of
individual points



Point-to-Set Principle (Lutz & Lutz '17)

For every set $E \subseteq \mathbb{R}^n$,

$$\dim_H(E) = \min_w \sup_{x \in E} \dim^w(x).$$

classical Hausdorff dimension ← ← dimensions of individual points

∴ In order to prove a lower bound

$$\dim_H(E) \geq \alpha,$$

it is enough to show that for every oracle w and $\varepsilon > 0$, there is some point $x \in E$ with

$$\dim^w(x) \geq \alpha - \varepsilon.$$

Conditional Dimension

The conditional Kolomogorov complexity of $p \in \mathbb{Q}^m$ given $q \in \mathbb{Q}^n$:

$$K(p|q) = \min \{ |\pi| : \pi \in \{0, 1\}^* \text{ and } U(\pi, q) = p \}.$$

Conditional Dimension

The conditional Kolmogorov complexity of $p \in \mathbb{Q}^m$ given $q \in \mathbb{Q}^n$:

$$K(p|q) = \min \{ |\pi| : \pi \in \{0, 1\}^* \text{ and } U(\pi, q) = p \}.$$

The conditional Kolmogorov complexity of $E \subseteq \mathbb{Q}^m$ given $F \subseteq \mathbb{Q}^n$:

$$K(E|F) = \max_{q \in F} \min_{p \in E} K(p|q).$$

Conditional Dimension

The **conditional Kolmogorov complexity** of $p \in \mathbb{Q}^m$ given $q \in \mathbb{Q}^n$:

$$K(p|q) = \min \{ |\pi| : \pi \in \{0, 1\}^* \text{ and } U(\pi, q) = p \}.$$

The **conditional Kolmogorov complexity** of $E \subseteq \mathbb{Q}^m$ given $F \subseteq \mathbb{Q}^n$:

$$K(E|F) = \max_{q \in F} \min_{p \in E} K(p|q).$$

The **conditional Kolmogorov complexity** of $x \in \mathbb{R}^m$ at **precision** y given $y \in \mathbb{R}^n$ at **precision** s :

$$K_{r,s}(x|y) = K(B_{2^{-r}}(x)|B_{2^{-s}}(y)).$$

Conditional Dimension

Definition (Lutz & Lutz '17)

The **conditional dimension** of $x \in \mathbb{R}^m$ given $y \in \mathbb{R}^n$ is

$$\dim(x|y) = \liminf_{r \rightarrow \infty} \frac{K_{r,r}(x|y)}{r}.$$

Conditional Dimension

Definition (Lutz & Lutz '17)

The **conditional dimension** of $x \in \mathbb{R}^m$ given $y \in \mathbb{R}^n$ is

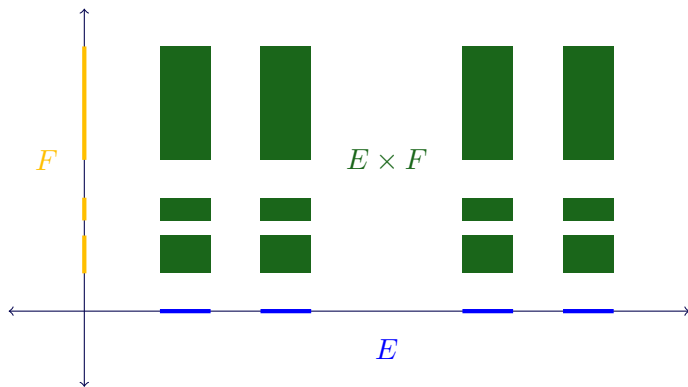
$$\dim(x|y) = \liminf_{r \rightarrow \infty} \frac{K_{r,r}(x|y)}{r}.$$

- ▶ Obeys a **chain rule**: $\dim(x, y) \geq \dim(x|y) + \dim(y)$.
- ▶ Bounded below by relative dimension: $\dim(x|y) \geq \dim^y(x)$.

Product Theorem (Marstrand 1954)

For all $E \subseteq \mathbb{R}^m$ and $F \subseteq \mathbb{R}^n$,

$$\dim_H(E \times F) \geq \dim_H(E) + \dim_H(F).$$



Easy for Borel sets. Was significantly more difficult for general sets.

Product Theorem (Marstrand 1954)

For all $E \subseteq \mathbb{R}^m$ and $F \subseteq \mathbb{R}^n$,

$$\dim_H(E \times F) \geq \dim_H(E) + \dim_H(F).$$

Proof. By the point-to-set principle, there is an oracle w such that

$$\dim_H(E \times F) = \sup_{(x,y) \in E \times F} \dim^w(x, y),$$

Product Theorem (Marstrand 1954)

For all $E \subseteq \mathbb{R}^m$ and $F \subseteq \mathbb{R}^n$,

$$\dim_H(E \times F) \geq \dim_H(E) + \dim_H(F).$$

Proof. By the point-to-set principle, there is an oracle w such that

$$\dim_H(E \times F) = \sup_{(x,y) \in E \times F} \dim^w(x, y),$$

and for every $\varepsilon > 0$ there exist $x \in E$ and $y \in F$ such that

$$\dim^w(x) \geq \dim_H(E) - \varepsilon \quad \text{and} \quad \dim^{w,x}(y) \geq \dim_H(F) - \varepsilon.$$

Product Theorem (Marstrand 1954)

For all $E \subseteq \mathbb{R}^m$ and $F \subseteq \mathbb{R}^n$,

$$\dim_H(E \times F) \geq \dim_H(E) + \dim_H(F).$$

Proof. By the point-to-set principle, there is an oracle w such that

$$\dim_H(E \times F) = \sup_{(x,y) \in E \times F} \dim^w(x, y),$$

and for every $\varepsilon > 0$ there exist $x \in E$ and $y \in F$ such that

$$\dim^w(x) \geq \dim_H(E) - \varepsilon \quad \text{and} \quad \dim^{w,x}(y) \geq \dim_H(F) - \varepsilon.$$

For this x and y ,

$$\dim_H(E \times F) \geq \dim^w(x, y)$$

Product Theorem (Marstrand 1954)

For all $E \subseteq \mathbb{R}^m$ and $F \subseteq \mathbb{R}^n$,

$$\dim_H(E \times F) \geq \dim_H(E) + \dim_H(F).$$

Proof. By the point-to-set principle, there is an oracle w such that

$$\dim_H(E \times F) = \sup_{(x,y) \in E \times F} \dim^w(x, y),$$

and for every $\varepsilon > 0$ there exist $x \in E$ and $y \in F$ such that

$$\dim^w(x) \geq \dim_H(E) - \varepsilon \quad \text{and} \quad \dim^{w,x}(y) \geq \dim_H(F) - \varepsilon.$$

For this x and y ,

$$\begin{aligned} \dim_H(E \times F) &\geq \dim^w(x, y) \\ &\geq \dim^w(x) + \dim^w(y|x) \end{aligned}$$

Product Theorem (Marstrand 1954)

For all $E \subseteq \mathbb{R}^m$ and $F \subseteq \mathbb{R}^n$,

$$\dim_H(E \times F) \geq \dim_H(E) + \dim_H(F).$$

Proof. By the point-to-set principle, there is an oracle w such that

$$\dim_H(E \times F) = \sup_{(x,y) \in E \times F} \dim^w(x, y),$$

and for every $\varepsilon > 0$ there exist $x \in E$ and $y \in F$ such that

$$\dim^w(x) \geq \dim_H(E) - \varepsilon \quad \text{and} \quad \dim^{w,x}(y) \geq \dim_H(F) - \varepsilon.$$

For this x and y ,

$$\begin{aligned} \dim_H(E \times F) &\geq \dim^w(x, y) \\ &\geq \dim^w(x) + \dim^w(y|x) \\ &\geq \dim^w(x) + \dim^{w,x}(y) \end{aligned}$$

Product Theorem (Marstrand 1954)

For all $E \subseteq \mathbb{R}^m$ and $F \subseteq \mathbb{R}^n$,

$$\dim_H(E \times F) \geq \dim_H(E) + \dim_H(F).$$

Proof. By the point-to-set principle, there is an oracle w such that

$$\dim_H(E \times F) = \sup_{(x,y) \in E \times F} \dim^w(x, y),$$

and for every $\varepsilon > 0$ there exist $x \in E$ and $y \in F$ such that

$$\dim^w(x) \geq \dim_H(E) - \varepsilon \quad \text{and} \quad \dim^{w,x}(y) \geq \dim_H(F) - \varepsilon.$$

For this x and y ,

$$\begin{aligned} \dim_H(E \times F) &\geq \dim^w(x, y) \\ &\geq \dim^w(x) + \dim^w(y|x) \\ &\geq \dim^w(x) + \dim^{w,x}(y) \\ &\geq \dim_H(E) + \dim_H(F) - 2\varepsilon. \end{aligned}$$

Product Theorem (Marstrand 1954)

For all $E \subseteq \mathbb{R}^m$ and $F \subseteq \mathbb{R}^n$,

$$\dim_H(E \times F) \geq \dim_H(E) + \dim_H(F).$$

Proof. By the point-to-set principle, there is an oracle w such that

$$\dim_H(E \times F) = \sup_{(x,y) \in E \times F} \dim^w(x, y),$$

and for every $\varepsilon > 0$ there exist $x \in E$ and $y \in F$ such that

$$\dim^w(x) \geq \dim_H(E) - \varepsilon \quad \text{and} \quad \dim^{w,x}(y) \geq \dim_H(F) - \varepsilon.$$

For this x and y ,

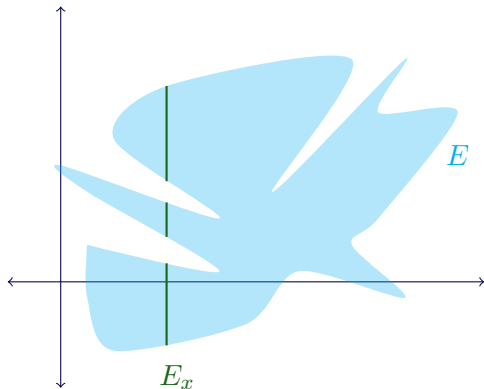
$$\begin{aligned} \dim_H(E \times F) &\geq \dim^w(x, y) \\ &\geq \dim^w(x) + \dim^w(y|x) \\ &\geq \dim^w(x) + \dim^{w,x}(y) \\ &\geq \dim_H(E) + \dim_H(F) - 2\varepsilon. \end{aligned}$$

Let $\varepsilon \rightarrow 0$.

Slicing Theorem (Marstrand 1954)

Let $E \subseteq \mathbb{R}^2$ be a **Borel** set with $\dim_H(E) \geq 1$, and let E_x be the vertical slice of E at x . Then for almost all $x \in \mathbb{R}$,

$$\dim_H(E_x) \leq \dim_H(E) - 1.$$



Slicing Theorem for Arbitrary Sets (N. Lutz '16)

Let $E \subseteq \mathbb{R}^2$ be **any** set with $\dim_H(E) \geq 1$, and let E_x be the vertical slice of E at x . Then for almost all $x \in \mathbb{R}$,

$$\dim_H(E_x) \leq \dim_H(E) - 1.$$

Slicing Theorem for Arbitrary Sets (N. Lutz '16)

Let $E \subseteq \mathbb{R}^2$ be **any** set with $\dim_H(E) \geq 1$, and let E_x be the vertical slice of E at x . Then for almost all $x \in \mathbb{R}$,

$$\dim_H(E_x) \leq \dim_H(E) - 1.$$

Proof. By the point-to-set principle, there is an oracle w such that

$$\dim_H(E) = \sup_{(x,y) \in E} \dim^w(x, y),$$

Slicing Theorem for Arbitrary Sets (N. Lutz '16)

Let $E \subseteq \mathbb{R}^2$ be **any** set with $\dim_H(E) \geq 1$, and let E_x be the vertical slice of E at x . Then for almost all $x \in \mathbb{R}$,

$$\dim_H(E_x) \leq \dim_H(E) - 1.$$

Proof. By the point-to-set principle, there is an oracle w such that

$$\dim_H(E) = \sup_{(x,y) \in E} \dim^w(x, y),$$

and for all $\varepsilon > 0$ and $x \in \mathbb{R}$, there is a point $(x, y) \in E_x$ such that

$$\dim^{w,x}(x, y) \geq \dim_H(E_x) - \varepsilon.$$

Slicing Theorem for Arbitrary Sets (N. Lutz '16)

Let $E \subseteq \mathbb{R}^2$ be **any** set with $\dim_H(E) \geq 1$, and let E_x be the vertical slice of E at x . Then for almost all $x \in \mathbb{R}$,

$$\dim_H(E_x) \leq \dim_H(E) - 1.$$

Proof. By the point-to-set principle, there is an oracle w such that

$$\dim_H(E) = \sup_{(x,y) \in E} \dim^w(x, y),$$

and for all $\varepsilon > 0$ and $x \in \mathbb{R}$, there is a point $(x, y) \in E_x$ such that

$$\dim^{w,x}(x, y) \geq \dim_H(E_x) - \varepsilon.$$

Since $(x, y) \in E$, we have

$$\dim_H(E) \geq \dim^w(x, y)$$

Slicing Theorem for Arbitrary Sets (N. Lutz '16)

Let $E \subseteq \mathbb{R}^2$ be **any** set with $\dim_H(E) \geq 1$, and let E_x be the vertical slice of E at x . Then for almost all $x \in \mathbb{R}$,

$$\dim_H(E_x) \leq \dim_H(E) - 1.$$

Proof. By the point-to-set principle, there is an oracle w such that

$$\dim_H(E) = \sup_{(x,y) \in E} \dim^w(x, y),$$

and for all $\varepsilon > 0$ and $x \in \mathbb{R}$, there is a point $(x, y) \in E_x$ such that

$$\dim^{w,x}(x, y) \geq \dim_H(E_x) - \varepsilon.$$

Since $(x, y) \in E$, we have

$$\begin{aligned} \dim_H(E) &\geq \dim^w(x, y) \\ &\geq \dim^w(x) + \dim^w(y|x) \end{aligned}$$

Slicing Theorem for Arbitrary Sets (N. Lutz '16)

Let $E \subseteq \mathbb{R}^2$ be **any** set with $\dim_H(E) \geq 1$, and let E_x be the vertical slice of E at x . Then for almost all $x \in \mathbb{R}$,

$$\dim_H(E_x) \leq \dim_H(E) - 1.$$

Proof. By the point-to-set principle, there is an oracle w such that

$$\dim_H(E) = \sup_{(x,y) \in E} \dim^w(x, y),$$

and for all $\varepsilon > 0$ and $x \in \mathbb{R}$, there is a point $(x, y) \in E_x$ such that

$$\dim^{w,x}(x, y) \geq \dim_H(E_x) - \varepsilon.$$

Since $(x, y) \in E$, we have

$$\begin{aligned} \dim_H(E) &\geq \dim^w(x, y) \\ &\geq \dim^w(x) + \dim^w(y|x) \\ &\geq \dim^w(x) + \dim^{w,x}(y) \end{aligned}$$

Slicing Theorem for Arbitrary Sets (N. Lutz '16)

Let $E \subseteq \mathbb{R}^2$ be **any** set with $\dim_H(E) \geq 1$, and let E_x be the vertical slice of E at x . Then for almost all $x \in \mathbb{R}$,

$$\dim_H(E_x) \leq \dim_H(E) - 1.$$

Proof. By the point-to-set principle, there is an oracle w such that

$$\dim_H(E) = \sup_{(x,y) \in E} \dim^w(x, y),$$

and for all $\varepsilon > 0$ and $x \in \mathbb{R}$, there is a point $(x, y) \in E_x$ such that

$$\dim^{w,x}(x, y) \geq \dim_H(E_x) - \varepsilon.$$

Since $(x, y) \in E$, we have

$$\begin{aligned} \dim_H(E) &\geq \dim^w(x, y) \\ &\geq \dim^w(x) + \dim^w(y|x) \\ &\geq \dim^w(x) + \dim^{w,x}(y) \\ &= \dim^w(x) + \dim^{w,x}(x, y) \end{aligned}$$

Slicing Theorem for Arbitrary Sets (N. Lutz '16)

Let $E \subseteq \mathbb{R}^2$ be **any** set with $\dim_H(E) \geq 1$, and let E_x be the vertical slice of E at x . Then for almost all $x \in \mathbb{R}$,

$$\dim_H(E_x) \leq \dim_H(E) - 1.$$

Proof. By the point-to-set principle, there is an oracle w such that

$$\dim_H(E) = \sup_{(x,y) \in E} \dim^w(x, y),$$

and for all $\varepsilon > 0$ and $x \in \mathbb{R}$, there is a point $(x, y) \in E_x$ such that

$$\dim^{w,x}(x, y) \geq \dim_H(E_x) - \varepsilon.$$

Since $(x, y) \in E$, we have

$$\begin{aligned} \dim_H(E) &\geq \dim^w(x, y) \\ &\geq \dim^w(x) + \dim^w(y|x) \\ &\geq \dim^w(x) + \dim^{w,x}(y) \\ &= \dim^w(x) + \dim^{w,x}(x, y) \\ &\geq \dim^w(x) + \dim_H(E_x) - \varepsilon. \end{aligned}$$

Slicing Theorem for Arbitrary Sets (N. Lutz '16)

Let $E \subseteq \mathbb{R}^2$ be **any** set with $\dim_H(E) \geq 1$, and let E_x be the vertical slice of E at x . Then for almost all $x \in \mathbb{R}$,

$$\dim_H(E_x) \leq \dim_H(E) - 1.$$

Proof. By the point-to-set principle, there is an oracle w such that

$$\dim_H(E) = \sup_{(x,y) \in E} \dim^w(x, y),$$

and for all $\varepsilon > 0$ and $x \in \mathbb{R}$, there is a point $(x, y) \in E_x$ such that

$$\dim^{w,x}(x, y) \geq \dim_H(E_x) - \varepsilon.$$

Since $(x, y) \in E$, we have

$$\begin{aligned} \dim_H(E) &\geq \dim^w(x, y) \\ &\geq \dim^w(x) + \dim^w(y|x) \\ &\geq \dim^w(x) + \dim^{w,x}(y) \\ &= \dim^w(x) + \dim^{w,x}(x, y) \\ &\geq \dim^w(x) + \dim_H(E_x) - \varepsilon. \end{aligned}$$

Recall that $\dim^w(x) = 1$ for almost all $x \in \mathbb{R}$, and let $\varepsilon \rightarrow 0$.

Conclusion

Algorithmic dimension provides a **simple**, **intuitive**, and **powerful** approach to problems in classical fractal geometry.

Conclusion

Algorithmic dimension provides a **simple**, **intuitive**, and **powerful** approach to problems in classical fractal geometry.

- ▶ This approach has also been used to bound the dimension of generalized Furstenberg sets (related to Kakeya sets).

Conclusion

Algorithmic dimension provides a **simple**, **intuitive**, and **powerful** approach to problems in classical fractal geometry.

- ▶ This approach has also been used to bound the dimension of generalized Furstenberg sets (related to Kakeya sets).
- ▶ Although the simple proofs in this work operated at the “higher level” of dimension, that proof is significantly more involved and reasons about Kolmogorov complexity directly.

Conclusion

Algorithmic dimension provides a **simple, intuitive, and powerful** approach to problems in classical fractal geometry.

- ▶ This approach has also been used to bound the dimension of generalized Furstenberg sets (related to Kakeya sets).
- ▶ Although the simple proofs in this work operated at the “higher level” of dimension, that proof is significantly more involved and reasons about Kolmogorov complexity directly.
- ▶ Objective: Further strengthen the connections between geometric measure theory and algorithmic information theory, i.e., **generalize and refine the point-to-set principle**.

Conclusion

Algorithmic dimension provides a **simple, intuitive, and powerful** approach to problems in classical fractal geometry.

- ▶ This approach has also been used to bound the dimension of generalized Furstenberg sets (related to Kakeya sets).
- ▶ Although the simple proofs in this work operated at the “higher level” of dimension, that proof is significantly more involved and reasons about Kolmogorov complexity directly.
- ▶ Objective: Further strengthen the connections between geometric measure theory and algorithmic information theory, i.e., **generalize and refine the point-to-set principle**.
- ▶ Broader project: Systematically re-examine the foundations of fractal geometry through this pointwise lens.